



TransactionCube™ 
*is our technology for
transactions and orders in
financial instruments.*



We provide technology for

- Independent Financial Advisers
- Financial Planners
- Discretionary Asset Management
- Wealth Management
- Financial Portfolio Management



Outsourced

We run the entire server for you.
We take Back Ups, virus and hacker protection.
We simply do your technical disaster recovery.
All you need is a internet connection, and so do
your customers.



Instrument Coverage

This list is not finally in work yet.

- Mutual Funds / Unit Trust
- Structured Products
- Capital Guaranteed Product
- Your own product's order book
- Equities (listed)
- Insurance





TransactionCube is designed to follow your work flow, and we include all the value chain, **from product provider to client.**

1 Order entry

The first step is to register an order.
This may be done by a client, advisor or back office.

2 Market Order

After confirmation by back office (optional) the order is sent to the market. This might be the stock exchange, fund provider, wrap provider, fund supermarket platform, transfer agent or other.

3 Payment

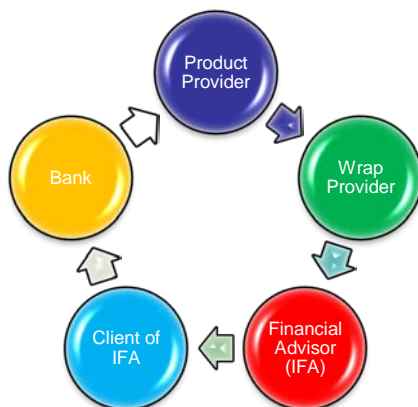
Payment instruction is sent to all banks involved in the transaction, by electronic or manual order.

4 Back Office

There are a number of ready made back office reports and reconciliation screens.

5 Integration

TransactionCube is a stand alone software product. TransactionCube have a tight integration with our sister system AdvisorCube for portfolio reporting. TransactionCube might also be integrated to competing platforms and systems.



Order Entry



Market



Payment



Back Office



Integration





Order Entry

Order are registered via the web log on by

- Clients
- Advisors
- Back Office



Screens

In easy to use screens you can register new orders and amend old orders based on your access level. You may add files and document to the order.

Check List

There is a check list functionality to make sure you do the order registration according to internal and external rules.

Follow Up

You have a long list for online screens and reports to make sure you have full control over your or your clients orders.

Order Form TransactionCube™

Investor Information

Registered Name: _____
Street Address: _____
Street Address 2: _____
Country: _____
Telephone No: _____
Fax No: _____
Email: _____

Bank & Depository Information

Bank Name: _____	Depository Name: _____
Account: _____	Account: _____
IBAN: _____	
BWIFT: _____	
Currency: _____	

Order Details

Instrument Name: _____	Order Date: _____
Price: _____	Settle Date: _____
Gross Amount: _____	Pay Date: _____
Transaction Cost: _____	Currency: _____
Commission Cost: _____	
Net Amount: _____	

General Directions: _____

1 Select Instrument

Instrument Details

Select Instrument: No Files Found

Wrap Schema: ...

Currency: EUR

Price: 2

2 Select Client

Client Selection

Client:

Currency:

Currency rate: [Link Transaction History!](#)

Bank Account:

Security Account:

3 Select Transaction Details

Transaction Details

Transaction Type:

Order Date:

Amount:

I Accept the Terms of Transaction

[More Details \(optional\) >>](#)

4 Select Transaction Files

Transaction Files

Select Category:





Market

The order might be processed internally or sent to a market or platform. This might be Stock market, Fund Provider, Wrap Provider, Transfer Agents, Fund Supermarkets or other platforms.



Confirmation

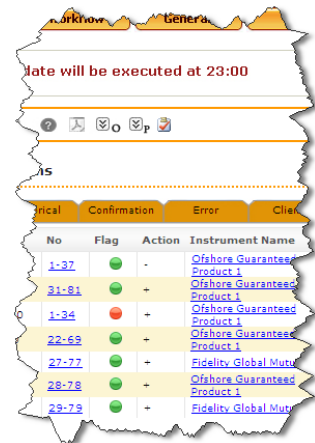
Most companies using TransactionCube have a back office function to "confirm" orders.

Error Handling

There are a number of help for your back office for finding and correcting order with any kind of error.

Order Form and uploads

You may print order forms, sign – scan – upload order forms. Same with payment instructions, commission, copy of passport or whatever documents you need to upload.



Order Date	No	Flag	Action	Instrument Name	Status	Amount	Curre	Advisor	Client	Generate	Icon
Jan 5 2008 12:00	1-36	●	-	Offshore Guaranteed Product 1	Confirmed	2640 838 896	CYP	Tm Advisor Service	Marios Kallis	Generate	☐
Jan 1 2008 12:00	1-33	●	-	Offshore Guaranteed Product 1	Confirmed for	300 EUR		Tm Advisor Service	Lasse Meholm	Generate	☐
Jan 17 2008 12:00	1-38	●	-	Offshore Guaranteed Product 1	Confirmed for	2640 838 896 EUR		Tm Advisor Service	Lasse Meholm	Generate	☐
Jan 1 2008 12:00	2-40	●	-	Offshore Guaranteed Product 1	Confirmed for	300 EUR		Tm Advisor Service	Lasse Meholm	Generate	☑
Jan 1 2008 12:00	2-41	●	-	Offshore Guaranteed Product 1	Confirmed for	300 EUR		Tm Advisor Service	Lasse Meholm	Generate	☑
Jan 1 2008 12:00	2-42	●	-	Offshore Guaranteed Product 1	Confirmed for	300 EUR		Tm Advisor Service	Lasse Meholm	Generate	☑
Jan 1 2008 12:00	2-43	●	-	Offshore Guaranteed Product 1	Executed and	300 EUR		Tm Advisor Service	Lasse Meholm	Generate	☑
Jan 1 2008 12:00	2-44	●	-	Offshore Guaranteed Product 1	Confirmed	300 EUR		Tm Advisor Service	Lasse Meholm	Generate	☑
Mar 7 2008 12:00	2-46	●	-	Offshore Guaranteed Product 1	Confirmed for		USD	Tm Advisor Service	Lasse Meholm	Generate	☑
Mar 7 2008 12:00	2-47	●	-	Offshore Guaranteed Product 1	Confirmed	50 000 EUR		Tm Advisor Service	Lasse Meholm	Generate	☑
Apr 23 2008 12:00	4-48	●	-	Offshore Guaranteed Product 1	Confirmed for		EUR	Tm Advisor Service	Lasse Meholm	Generate	☑
Mar 7 2008 12:00	2-47	●	-	Offshore Guaranteed Product 1	Executed		USD	Tm Advisor Service	Lasse Meholm	Generate	☑
Mar 7 2008 12:00	2-49	●	-	Offshore Guaranteed Product 1	Executed		USD	Tm Advisor Service	Lasse Meholm	Generate	☑
Mar 7 2008 12:00	2-40	●	-	Offshore Guaranteed Product 1	Confirmed for		USD	Tm Advisor Service	Lasse Meholm	Generate	☑





Payment

You may set up the system 2 ways

1. Payment first and then execution
2. Execution first then payment



Payment Instruction

You may print manual payment instructions to the bank and send by telefax or postal. Or you may send electronic payment instruction to the bank.

TransactionCube™

Payment Instruction

SWIFT - 103

Name of Bank: _____
 Account Number: _____
 Account Holder: _____

Please remit _____ by payment method MT103 to:
 Name of Bank: _____
 SWIFT: _____
 Account Name: _____
 Account No: _____
 SWIFT: _____

For further credit to: _____
 IBAN NO: _____

All bank charges are paid by the remitter.

Client reference (name of client): _____

Date: _____

Multicurrency

Transaction is multicurrency.

Reconciliation

There are a number of report to help you reconcile you bank account and your counterparts bank account.

Transactions

Order Book | Historical | Confirmation | Error | Clients | Per Status | My Instruments | **Payment** | [New Transaction](#)

Payments to Account

Set From Date: 28.06.2007 | Set To Date: 28.09.2008

Receiving Account: 6723663EE6273

SUM: 1 500,00

Receiving Bank	Account	Pay Bank	Account	Acc. Curr.	Amount	Order	Client	Instrument	Exp. Payment
Security Bank	6723663EE627	Bank Of Troodos	5555 66 234823432	USD	500.00	3-44	L. M.	O. G. P. 1.	jun 6 2008 12:00
Security Bank	6723663EE627	Bank Of Troodos	5555 66 234823432	USD	1 000.00	3-45	L. M.	O. G. P. 1.	jul 7 2008 12:00





Back Office

Our easy to use back office support is the core of our service. Both advisors, back office personnel, management and counterpart can enjoy excellent support.



Deal Confirmation

The service generates a deal confirmation atomically or you may generate one, as a pdf file. This is stored in the history and sent as a email.

Status

All part of the value chain are able to follow the work flow or orders and at different statuses.

Document storage

In the order book you might store a number of document attached to the order.

Reconciliation

There are a number of reports to reconcile orders, bank accounts, depositary accounts and provider information

No	FI Asset Class	Action	Instrument Name	Order Date	Exp. Paymte Status	Amount	Currency	Unit Num	Pric Name
21	Structured P	-	Offshore Guaranteed Product 1	sep 2 2008	---	100 000	CYP		Marios Kallis
22	Structured P	-	Offshore Guaranteed Product 1	jan 17 2008	---	100 000	EUR	45	Lasse Meholm
23	Structured P	-	Offshore Guaranteed Product 1	jul 10 2008	---	100 000	EUR		Lasse Meholm
24	Mutual Fund	-	Fidelity Global Mutual	jul 24 2008	---	100 000	EUR		Lasse Meholm
25	Structured P	-	Offshore Guaranteed Product 1	aug 27 2008	---	50 000	EUR		Lasse Meholm
26	Mutual Fund	-	Fidelity Global Mutual	sep 1 2008	---	100 000	EUR		Lasse Meholm

Order Date	No	Flag	Action	Instrument Name	Exp. Paymte Status	Amount	Curr	Advisor	Client	Message
jan 1 2008 12:00	1-37	●	-	Offshore Guaranteed Product 1	Upload Scanned	32 423	CYP	Tm Advisor Service	Marios Kallis	
sep 2 2008 12:00	31-81	●	+	Offshore Guaranteed Product 1	---	100 000	CYP	Tm Advisor Service	Marios Kallis	
jan 17 2008 12:00	1-34	●	-	Offshore Guaranteed Product 1	---	100 000	EUR	Tm Advisor Service	Lasse Meholm	
jul 10 2008 12:00	22-59	●	-	Offshore Guaranteed Product 1	---	100 000	EUR	Tm Advisor Service	Lasse Meholm	
jul 24 2008 12:00	27-77	●	-	Fidelity Global Mutual	---	100 000	EUR	Tm Advisor Service	Lasse Meholm	
aug 27 2008 12:00	28-78	●	-	Offshore Guaranteed Product 1	---	50 000	EUR	Tm Advisor Service	Lasse Meholm	
sep 1 2008 12:00	29-79	●	-	Fidelity Global Mutual	---	100 000	EUR	Tm Advisor Service	Lasse Meholm	





Matching

TransactionCube gives you the possibility of matching buyer and seller.

This is done according to MiFID and TCF “Best Price” Practise.



Internal market

For those promoting their own investment products this is an extremely efficient functionality. You may use this for the public offering and for generating a second hand market.

Match Panel

You back office management will have a easy to use matching panel. A Popup window gives you full control of the process.

Matching --> Trn Advisor Service

Match Transactions

Select Instrument: Ofshore Guaranteed Product 1

BUYERS			SELLERS		
Total Number	Total Amount	Price	Total Number	Total Amount	Price
0.00	100 000.00	0.00	3 740.00	50 000.00	0.00
50.00	500.00	10.00	3 454.00	2 640 838 596.00	764 374.00

Matching Panel

Ofshore Guaranteed Product 1 MATCH

BUY

SELL

Next step

After matching the order follow the process to execution and payment, and finally deal confirmation.





Value Chain

TransactionCube cover the whole value chain:

- Product Provider input and maintain product information
- Wrap provider "wrap" products
- Independent Financial Advisor(IFA)/ Private Banking
- Clients of IFA and Private Banks
- Market, either direct or indirect
- Bank for payment

They can all log in via the web and participate in the process, or they can be integrated one way or another.

