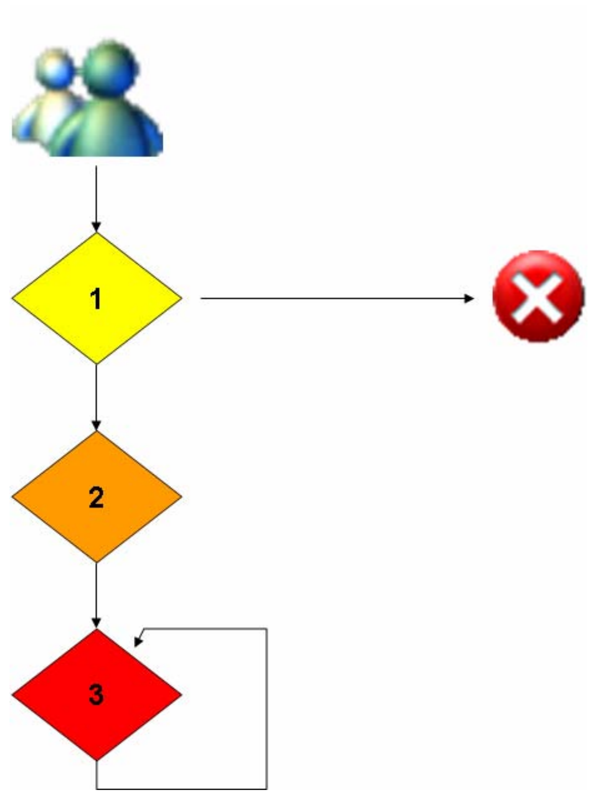
Customer Compliance

The process of getting a new customer is



Prospecting
(FinanceCube: CRM)

New Customer
Money Laundering
(FinanceCube: Compliance)

Find out more about your customer
Customer Profile
(FinanceCube: Fact Find)

Ongoing advice
Reason why letter/strategically plan
(FinanceCube: CRM)

FinanceCube
Academy

This is our recommendation to the customer compliance / money laundering process.

1. Correct copy of the passport
2. Signed entry form
3. Scanned passport stored in the system
4. Copy of driver license, bank ID or similar
5. Copy of last utility bill
6. Check with police central registry (if possible)
7. Given customer category according to MiFID, and informed customer
8. Done first meeting and customer fact find / customer profiling
9. Customer signature of fact find

Our customers can design their own compliance checklist.